



PO Box 209, Jefferson City, MO 65102-0209
 (573) 632-6100 or (800) 827-1063 | Fax (573) 632-6103
 forms@mosers.org | www.mosers.org



ROL

Tax-Free Rollover Certification Form Purchasing Service

Please print. • See next page for Acceptance Letter

SECTION A - PERSONAL INFORMATION

Social Security Number or Member ID

Date of Birth

Name (last/first/middle)

SECTION B - CERTIFICATION OF MEMBER

Complete the information requested below and then forward to your financial institution. **Per IRS guidelines, MOSERS can only accept an amount equal to or less than the balance due for a purchase or reinstatement of service credit. OVERPAYMENTS WILL BE RETURNED.**

- I request that MOSERS accept a rollover
- I received a rollover under the 60-day rule (if unsure, contact your financial institution)

Name of Qualified Plan or IRA Custodian

Amount to roll over (dollar amount or % of account) \$

SECTION C - APPLICANT SIGNATURE

I have read and understand the *Tax-Free Rollover to MOSERS* document and the information on this form and I understand that I will be required to provide proof in support of my above declaration. I also understand that my rollover contribution must be made within 60 days after I receive a distribution of such amounts, and the 60-day rule does not apply to direct rollovers. I am aware that the amount of my rollover contribution will be held and later distributed in accordance with the terms of MOSERS. I acknowledge that anyone who makes a false statement or causes or permits submission of falsified records to MOSERS in an attempt to defraud is subject to punishment under the law. **I give MOSERS permission to speak to this financial institution regarding payoff amounts. I also certify that these funds have not previously been taxed.**

Signature

Date

SECTION D - CERTIFICATION OF FINANCIAL INSTITUTION

Complete the information requested below and then return to MOSERS with payment. **Per IRS guidelines, MOSERS can only accept an amount equal to or less than the balance due for a purchase or reinstatement of service credit. OVERPAYMENTS WILL BE RETURNED.**

The Qualified Plan or IRA Custodian Named Above is (Check One)

- An eligible plan under Internal Revenue Code, Sections 401(a) [including a pension, profit sharing, 401(k), or stock bonus plan], 403(a), 403(b), or a governmental 457(b) distributed as a 60-day or a direct trustee-to-trustee transfer (must be a direct trustee-to-trustee for in-service transfers from 403(b) and 457(b) governmental plans).
- A traditional IRA under Code Section 408(a), or an individual retirement annuity under Code Section 408(b) which only includes pre-tax contributions, distributed as a direct rollover.

Tax Status of Funds (Check One)

- The plan administrator of the distributing plan represents that either (i) the plan is qualified; or (ii) the plan is intended to be qualified and the administrator knows of no provision or operation that would result in its disqualification. [Rollover includes funds which tax status can not be verified by administrator.]
- Funds can be verified as pre-tax funds from a qualified plan.

SECTION E - FINANCIAL INSTITUTION SIGNATURE

Representative's Signature (mandatory)

Date

Representative's Printed Name and Title

Name of Financial Institution

Contact Name

Phone Number

Please keep a copy for your records. Return completed form to:
 MOSERS • PO Box 209 • Jefferson City, MO 65102 or fax to MOSERS at (573) 632-6103

**MOSERS is a 401(a) governmental defined benefit program.
 SEE LETTER OF ACCEPTANCE ON REVERSE SIDE OF THIS FORM.**



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THIS FORM INCLUDES PERSONAL AND CONFIDENTIAL INFORMATION

ACCEPTANCE LETTER

To Whom It May Concern:

MOSERS is a governmental defined benefit plan under Section 401(a) of the Internal Revenue Code. For the purchase of service credit, we can accept rollovers from other 401(a) qualified plans, 403(a) qualified annuity plans, traditional IRAs, 403(b) plans, and governmental 457(b) deferred compensation plans. In addition, we can accept in-service trustee-to-trustee transfers from 403(b) and governmental 457(b) plans for the purchase of service credit. However, we must receive proper certification of the status of the funds used to purchase credit.

Upon our receipt of the Rollover/Transfer Certification for Pre-Tax Funds for the Purchase or Transfer of Service Credit after the member has made a valid application, we will be able to accept qualified funds in payment to purchase eligible service credit with this retirement system. IRS guidelines will not allow us to accept funds in excess of the balance due. ANY OVERPAYMENTS WILL BE RETURNED.

Abby Spieler
Executive Director